



**REQUEST FORM FOR  
APPLICATION UNDER 37 CFR 1.53(b)**



DOCKET NUMBER: 55653-017

Prior Application:

Art Unit: 2177

Examiner: Sathyanaraya R. Pannala

BOX

FEE

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This is a Request for filing a Divisional application under 37 CFR 1.53(b) of pending prior application

Serial No. 09/562,916, filed on May 2, 2000, entitled CONSTRUCTION OF TRAINABLE SEMANTIC

VECTORS AND CLUSTERING, CLASSIFICATION, AND SEARCHING USING A TRAINABLE

SEMANTIC VECTOR, by the following named inventors: Randall J. CALISTRI-YEH, Bo YUAN, George B.

OSBORNE, David L. SNYDER.

1. ☒ I hereby state that the enclosed application contains no new matter.
2. Oath or Declaration
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ Deletion of inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
3. ☒ Incorporation By Reference (useable if Box 2b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 2b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
4. ☒ Preliminary Amendment is enclosed.
5. ☒ An Information Disclosure Statement and PTO1449 Form are submitted herewith.
6. ☒ Cancel claims 1-52, 60-64, 66-70.

7. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 6 above:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	14	-20	0	\$18.00 =	\$0.00
Independent Claims	4	-3	1	\$86.00 =	\$86.00
Basic Application Fee					\$770.00
If multiple dependent claims are presented, add \$0.00					\$0.00
Total Application Fee					\$856.00
Subtract ½ if small entity					\$428.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$428.00</b>
<b>AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417</b>					<b>\$428.00</b>

- 7a. ☒ Enclosed is a copy of the Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- 7b. ☒ A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.
- 8a. ☒ **PLEASE CHARGE DEPOSIT ACCOUNT 500417 in the amount of \$\$428.00**
- 8b. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees to maintain the pendency of the parent application Serial No. 09/562,916 or credit any overpayment to Deposit Account No. 500417.
9. ☒ Amend the specification by inserting before the first line the sentence:  
  
--This application is a divisional of Application Serial No. 09/562,916 filed May 2, 2000.--
10. ☒ Priority of United States Provisional Application No. 60/177,654, filed on January 27, 2000, is claimed under 35 USC 119. The claim of priority was filed in Serial No. 09/562,916 on May 2, 2000.
11. ☒ The prior application is assigned of record to  
  
**MANNING & NAPIER INFORMATION SERVICES, LLC**  
Rochester, NY
12. ☒ The power of attorney in the prior application is to:  
  
McDermott, Will & Emery
13. ☒ Also enclosed:  
  
12 Pages of Formal Drawings

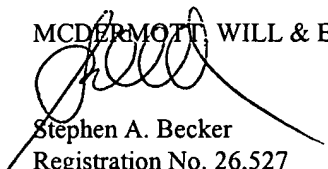
14. ☐ A petition, fee and response has been filed to extend the term in the pending prior application until .

Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDermott, Will & Emery  
600 13th Street, N.W.  
Washington, DC 20005-3096

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
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Registration No. 26,527

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**Date: April 14, 004**

Docket No.: 55653-017

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
	:	
Randall J. CALISTRI-YEH, et al.	:	Confirmation Number:
	:	
Serial No.: Divisional of Appl. No. 09/562,916	:	Group Art Unit:
	:	
Filed: April 14, 2004	:	Examiner: Unknown
	:	
For: CONSTRUCTION OF TRAINABLE SEMANTIC VECTORS AND CLUSTERING, CLASSIFICATION, AND SEARCHING USING A TRAINABLE SEMANTIC VECTOR		

**TRANSMITTAL OF FORMAL DRAWINGS**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

12 sheets of formal drawings, reflecting the corrected formal drawings as filed in parent application Serial No. 09/562,916 are submitted herewith.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



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**Date: April 14, 2004**